Attorney Docket No. 30



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

: Harry M. O'Sullivan

Serial No : 09/835,464

Filed

: April 17, 2001

For

: CELLULAR TELEPHONE DATA

COMMUNICATION SYSTEM AND

METHOD

Examiner:

Lele, T.

Group Art Unit:

2684

#### INFORMATION DISCLOSURE STATEMENT

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Sir:

As requested by Ex. Lele, substitute forms are attached hereto listing the references that were originally cited in parent application no. 08/436,863. Copies of these references were provided in the parent application. If the Examiner should desire to have copies of any of the cited references he is invited to contact the undersigned at the number given. A check in the amount of \$180 is attached herewith. If an additional fee is required, please call the undersigned so that any declaration and/or fee that is required may be promptly provided.

Respectfully submitted,

Date: October 20 200 4

10/26/2004 EAREGAY1 00000167 09835464

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Charles M. Leedom, Jr. Registration No. 26,477

Telephone: (703) 241-0165 Cell (703) 975 2082

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6524 Truman Lane Falls Church, VA

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Co	mplete if Known
Application Number	09/835464
Filing Date	April 17, 2001
First Named Inventor	Harry M. O'Sullivan
Art Unit	2684
Examiner Name	T. Lele
Attorney Docket Number	0301-415

Fire at last	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
		US- 3613004	10/1971	WYCOFF	Figures Appear		
		<sup>US-</sup> 3657700	04/1972	LUTZKER			
		<sup>US-</sup> 3674935	07/1972	LAWRENCE			
		<sup>US-</sup> 3714586	01/1973	MASON			
		<sup>US-</sup> 3714650 ∘	01/1973	FULLER, et al.	<u> </u>		
		<sup>US-</sup> 3745251	07/1973	FRETWELL	<del> </del>		
		us- 3766479	10/1973	THALIMER, et al.			
		<sup>US-</sup> 3851112	11/1974	KUSAN			
		<sup>US-</sup> 3878333	04/1975	SHIMIZU, et al.			
		<sup>US-</sup> 3899772	08/1975	MEAD, et al.			
		<sup>US-</sup> 3927259	12/1975	BROWN			
		<sup>US-</sup> 3939431	02/1976	COHLMAN			
		<sup>US-</sup> 3974343	08/1976	CHENEY			
		us- 4012596	03/1977	WEST, JR., et al.			
		us- 4025853	05/1977	ADDEO			
		us- 4094484	06/1978	GALIONE			
		<sup>US-</sup> 4109283	08/1978	RAST			
		<sup>US-</sup> 4178476	12/1979	FROST			
		<sup>US-</sup> 4284849	08/1981	ANDERSON, et al.			

		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ6
		EP 0295146	06/1988			
		EP 0309627	04/1989			Г
		GB 2170977	02/1996	**************************************		
		GB2256113	11/1992			
		DE3433900	03/27/1986			
		PCT 87 00718	01/1987			

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Signature		sidered
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STATEMENT	BY APPLICANT

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Sheet 2 of 12

Complete if Known					
Application Number	09/835464				
Filing Date	April 17, 2001				
First Named Inventor	Harry M. O'Sullivan				
Art Unit	2684				
Examiner Name	T. Lele				
Attorney Docket Number	0301-415				

Examiner	Cite	Document Number	Publication Date	T DOCUMENTS	
Initials*	No.1	Number-Kind Code <sup>2</sup> (Fknown)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
		<sup>US-</sup> 4330862	05/1982	SMOLIK	Figures Appear
		<sup>US-</sup> 4337376	06/1981	GRUENBERG	
		<sup>US-</sup> 4376310	03/1983	STACKHOUSE, et al.	
		<sup>US-</sup> 4403322	09/1983	KATO, et al.	
		<sup>US-</sup> 4414661	11/1983	KARLSTROM	
		US- 4415774	11/1983	DRIVER	
	T	us- 4419756	12/1983	CHENG-QUISPE, et al.	
		us- 4425665	01/1984	STAUFFER	
		us- 4486624	12/1984	PUHL	
		US- 4488002	12/1984	SEIBEL	
		us- 4498173	02/1985	REUDINK	
		US- 4503288	03/1985	KESSLER	
		US- 4519068	05/1985	KREBS, et al.	
		us- 4524244		FAGGIN, et al.	
		us- 4525861		FREEBURG	
		us- 4545071		FREEBURG	
		<sup>US-</sup> 4549308		LOPINTO	
		<sup>US-</sup> 4556760		GOLDMAN	
		JS- 4558178	·	YASUDA, et al.	

		FORE	IGN PATENT DOCU			
Examiner Cité Initials* No.	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T
	İ	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	₹F
		PCT 89 05553	06/1989			<b>—</b>
		PCT 90 03076	03/1990			
		PCT 91 07044	. 05/16/1991			
		PCT 92 10047	06/11/1992			-
		PCT 86 00207	07/1986			
		PCT 88 04362	12/1988			<b>-</b>

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Sheet 3

**Application Number** 09/835464 Filing Date April 17, 2001 First Named Inventor Harry M. O'Sullivan Art Unit 2684 **Examiner Name** T. Lele Attorney Docket Number 0301-415

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite Na. <sup>1</sup>	Number-Kind Code <sup>2 (F known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 4562572	12/1985	GOLDMAN, et al.	
		<sup>US-</sup> 4568800	02/1986	ORIKASA	
		<sup>US-</sup> 4577182	03/1986	MILLSAP, et al.	
		<sup>US-</sup> 4578537	03/1986	FAGGIN, et al.	
		<sup>US-</sup> 4591661	05/1986	BENEDETTO, et al.	
		<sup>US-</sup> 4638022	01/1987	BURKE, et al.	
		<sup>US-</sup> 4654867	03/1987	LABEDZ, et al.	
		<sup>US-</sup> 4656318	04/1987	NOYES	···
		<sup>US-</sup> 4658096	04/1987	WEST, JR., et al.	
		<sup>US-</sup> 4660218	04/1987	HASHIMOTO	
		<sup>US-</sup> 4661659	04/1987	NISHIMURA	
		<sup>US-</sup> 4667653	05/1987	CORNELL	
		<sup>US-</sup> 4677656	06/1987	BURKE, et al.	
		us- 4680787	07/14/1987	MARRY	
		US- 4658123	08/1987	HSIA	
		<sup>US-</sup> 4691314	09/1987	BERGINS, et al.	
		<sup>US-</sup> 4697473	09/1987	ETOH	
		<sup>US-</sup> 4697281	09/1987	O'SULLIVAN	
		<sup>US-</sup> 4698839	10/1987	DEVANEY, et al.	

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		PCT 89 03710	08/1989			
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Sheet 4

Complete if Known			
Application Number	09/835464		
Filing Date	April 17, 2001		
First Named Inventor	Harry M. O'Sullivan		
Art Unit	2684		
Examiner Name	T. Lele		
Attempt Dealest Number	0201 415		

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (I known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<del> </del> -	US- 4718080	01/1988	SERRANO, et al.	
	<del>                                     </del>	<sup>US-</sup> 4737975	04/1988	SHAFFER	
	<del>                                     </del>	US- 4751725	06/1988	BONTA, et al.	
	<del>                                     </del>	us- 4752949	06/1988	STEINBECK, et al.	
		US- 4759059	07/1988	CHRISTENSEN	
		US- 4775997	10/1988	WEST, JR., et al.	
		US- 4823362	07/1986	ЕТОН	
<del></del>		US- 4823373	04/1989	TAKAHASHI, et al.	
		US- 4837800	06/1989	FREEBURG, et al.	
		<sup>US-</sup> 4837812	06/1989	TAKAHASHI, et al.	
	1	<sup>US-</sup> 4852146	07/1989	HATHCOCK, et al.	
	1	<sup>US-</sup> 4868859	09/1989	SHEFFER	
	İ	<sup>US-</sup> 4868863	09/1989	HARTLEY, et al.	
		US- 4887290	12/1989	DOP, et al.	
		us- 4890315	12/1989	BENDIXEN, et al.	
	1	US- 4912756	03/1990	HOP	
		<sup>US-</sup> 4955083	09/1990	PHILLIPS, et al.	
		<sup>US-</sup> 4972457	11/1990	O'SULLIVAN	
		<sup>US-</sup> 4972462	11/1990	SHIBATA	

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	6	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	1	
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Sheet 5

of 12

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miliais	110.	Number-Kind Code <sup>2 (# known)</sup>			Figures Appear
		<sup>US-</sup> 4991197	02/1991	MORRIS	
		<sup>US-</sup> 5025254	06/1991	HESS	
		<sup>US-</sup> 5046082	09/1990	ZICKER, et al.	
		<sup>US-</sup> 5073921	12/1991	NOMURA, et al.	
		<sup>US-</sup> 5081673	01/1992	ENGELKE, et al.	
	<u> </u>	<sup>US-</sup> 5095534	03/1992	HIYAMA	
		US- 5127041	06/1992	O'SULLIVAN	
		US- 5131019	07/1992	SHEFFER, et al.	
· · · · · · · · · · · · · · · · · · ·		<sup>US-</sup> 5134648	07/1992	HOCHFIELD, et al.	
		US- 5170470	12/1992	PINDAR, et al.	
		<sup>US-</sup> 5202963	04/1993	ZELLEY	
		<sup>US-</sup> 5249218	09/1993	SAINTON	
		<sup>US-</sup> RE34034	08/1992	O'SULLIVAN	
		<sup>US-</sup> 3711777	01/1973	TINK	
		US-			

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Substitut	te for form 1449/PTO			Application Number	09/835464	
INFO	ORMATION	DIS	CLOSURE	Filing Date	April 17/2001	
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Harry M. O'Sullivan	
		_		Art Unit	2684	
	(Use as many she	ets as n	ecessary)	Examiner Name	T. Lele	
Sheet	6	of	12_	Attorney Docket Number	0301-415	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Trademark Registration No. 1366435 Mark: BRIDGE	
		F. R. BERFLER, JR.; A Tale of Two Modems; PC Magazine; August 21, 1984	
		Z. C. FLÜHR & P. T. PORTER; Advanced Mobile PhoneService: Control Architecture; Bell System Technical Journal; Vol. 58, No. 1; January 1979	
		R. E. FISHER; Advanced Mobile Phone Service: A Subscriber Set for the Equipment Test; Bell System Technical Journal; Vol. 58, No. 1; January 1979	
		F. H. BLECHER; Advanced Mobile PhoneService; IEEE	
		T. M. CARIDI & P. MIGHDOLL; An 800 Mhz Approach to Underground Date Communications; IEEE 1981 Industry Applications	
		R. A. COMROE & J. COSTELLO, Jr; ARQ Schemes for Data Transmission in Mobile Radio Systems; IEEE Transactions on Vehicular Technology; Vol VT-33; August 1984	
		AT&T 208A Data Set Product; Circuit Description, DC-10232-01; July 1975	
		AT&T's DIMENSION PBX described in AT&T publication Bell System Practice Section 503-100-103, Issue 3, March 1981, which relates to a multi-button electronic telephone set	
		BUSH; Data Communication, October 1976, p. 74	

Examiner	Date	ł
Signature	Considered	

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T. Lele

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Attorney Docket Number

**Examiner Name** 

(Use as many sheets as necessary)

0301-415 1i2 Sheet NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of Examiner Cite  $\mathsf{T}^2$ the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Initials\* number(s), publisher, city and/or country where published. Cell Data Launched; British Telecom Journal, Vol. 6, No. 3, 1985, Autumn Cellnet Adds Data to Cellular Service; International News Telephone Engineer and Management, Nov. 1, 1985 Cellular Link is Step to Portable Office; Electronic, June 28, 1984, pp. 48 & 50 COMPAQ; SpeedPAQ 144 Modem - Reference Guide COMPAQ; COMPAQ Product Quality Statements COMPAQ; SpeedPAQ 144 Modem - COMPAQ Portable Computers Installation Guide COMPAQ: A COMPAQ and 121/PT128 Data Application...; January 25, 1993 COMPAQ; SpeedPAQ 144 Modem - Quick Reference COMPAQ; SpeedPAQ 14 Modem - About Your Fax Software... I. BRODSKY; Dialing Data Via Mobile Telephones; Data Communications

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International, October 1989, pp.103 - 110

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Complete if Known Substitute for form 1445 TRADE **Application Number** 09/835464 INFORMATION DISCLOSURE **Filing Date** April 17, 2001 STATEMENT BY APPLICANT First Named Inventor Harry M. O'Sullivan **Art Unit** 2684 (Use as many sheets as necessary) **Examiner Name** T. Lele Attorney Docket Number 0301-415 Sheet 12 of

		NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
		V. HACHENBURG, B. D. HOLM, & J. I. SMITH; Data Signaling Functions for a Cellular Mobile Telephone System; IEEE Transactions on Vehicular Technology, Feb. 1977, Vol. VT 26, No				
		P. J. CADMAN & R. L. BREWSTER; Data Transmissions Over VHF and UHF Land Mobile Radio Channels; IEEE Proceedings, Vol. 130, Part F, No. 6, Oct. 1983				
		T. BRENIG; Data Transmission for Mobile Radio; IEEE Transactions on Vehicular Technology, VT-27, No. 3, August 1978				
•		T. L. FLOYD; Excerpt from "Digital Fundamental", 3rd Edition, pp. 562 - 569; Copyright 1986				
		Supplementary European Search Report; February 3,1985				
,		BARTIMO; Firm Unveils Cellular Bridge; Infoworld, Vol. 6, Issue 27, pp. 5, 11, 12				
		GALUSZKA; Data Communications, July 1985, p. 68				
		GODIN; Electronic, September 1983, p. 121 et seq.				
		Hayes Smartmodem Owner's Manual; Hayes Microcomputer Products, Inc., 1981				
		Information Received From Nokia on January 25, 1993				

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Examiner	Date	•
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Initials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>					
		LILLY; PC Week, January 7, 1986, p. 135						
		Lineback; Electronic Report, June 28, 1994, p. 48						
		DAL DEGAN, et al.; Low Bit-Rate Speech Coders Applicable in Mobile Satellite Communications Systems; Centrol Studi e Laboratori Telecommunicazioni; 274 - 10148						
		Millicom Cellular Portable Telephone; Technical Disclosure, Nov. 1984, pp. 1.5, 2.6, 2.18 - 2.21, 6.11 - 6.13						
		AB3X Cellular Interface Owner's Manual; Morrison & Dempsey Communications; November 1987						
		J. M. MORRIS; Optimal Blocklengths for ARQ Error Control Schemes; IEEE Transactions on Communications, Vol. COM-27, No. 2, February 1979						
		R. M. FULLER & F. A. EPLER; Overview of AMPS Mobile Call Processing System; 1980 Annual Conference of the IEEE Vehicular Technology Society						
		Panasonic's "Easa-Phone" System described in Technical Guide KX-T 30810 for Panasonic's electronic modular switching system; and KX-T 30830 for its associated telephone statio						
		J. KREBS; Portable Computer and Host Talk Over Radio-Frequency Link; Electronic, Vol. 56, August 25, 1983						
		D. J. GOODMAN, et al.; Quality of Service and Bandwidth Efficiency ofVariable Bit-Rate Speech Transmission; IEEE Trans. on Vehicular Technology, Vol VT-32, No. 3, 8/1983						

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Sheet

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Filing Date April 17, 2001 First Named Inventor Harry M. O'Sullivan **Art Unit** 2684 **Examiner Name** T. Lele Attorney Docket Number 0301-415

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	J. KREBS; R/F Linked Portable Digital Communications System Overview; Proc. of the National Electronics Conf., 1984, Sept. 24-26, pp. 402 - 407						
		SCHNEIDERMAN, Computerworld, May 5, 1986, p. 17					
		Spectrum Information Technologies, Inc. et al. v. Data Race, Inc Affidavit of Peter Caserta					
Spectrum Information Technologies, Inc. et al. v. Data Race, Inc Affidavit of John rule							
		Spectrum Information Technologies, Inc. et al. v. Data Race, Inc Affidavit of Evan R. Smith					
		Spectrum Information Technologies, Inc. et al. v. Data Race, Inc Amended Answer					
		Spectrum Information Technologies, Inc. et al. v. Data Race, Inc Amended Complaint					
		Spectrum Information Technologies, Inc. et al. v. Data Race, Inc Memo in Support of Plaintiff's Application for Temporary Restraining Order					
		Spectrum Information Technologies, Inc. et al. v. Data Race, Inc Response to Plaintiff's Application for Temporary Restraining Order					
<u>-</u>		Spectrum Information Technologies, Inc. et al. v. Data Race, Inc Transcript of Proceedings					

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Application Number	09/835464		
Filing Date	April 17, 2001		
First Named Inventor	Harry M. O'Sullivan		
Art Unit	2684		
Examiner Name	T. Lele		
Attorney Docket Number	0301-415		

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		Spectrum Information Technologies, Inc. et al. v. Data Race, Inc Original Answer and Counterclaims			
		Spectrum Information Technologies, Inc. et al. v. Microcom, Inc Complaint			
		Spectrum Information Technologies, Inc. et al. v. Microcom, Inc Answer and Counterclaims			
		Spectrum Information Technologies, Inc. et al. v. Microcom, Inc Answer to Counterclaims			
		Spectrum Information Technologies, Inc. et al. v. Microcom, Inc Judgement			
		P. S. STONE; 286 Laptop; Info World News, 4/25/88, p. 5			
		J. E. MCNAMARA; Technical Aspects of Data Communication; Digital Press, July 1979			
		Vodafone News, February 13, 1985, two pages			
		Bridge Between Data Communications and Cellular Telephone; Data Communications, Sec. New Products, February 1985, p. 218			
		STUART CRUMP, JR.; ABIX: Landline Emulator for Cellular; Personal Communications Technology, June 1986, p. 39			

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				Art Unit	2684	
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		Ameritech Nondisclosure Agreement, June 1, 1985	
		Cellular Portable Telephone, Owner's Manual; Millicom	
		Letter from Jason Mirabito, Gaston & Snow, February 28, 1990 re: U.S. Patent No. 4,697,281	
		High-Tech Cellular Products Demonstrated; Ameritech, Vol. 3, No. 34, September 25, 1985	
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